

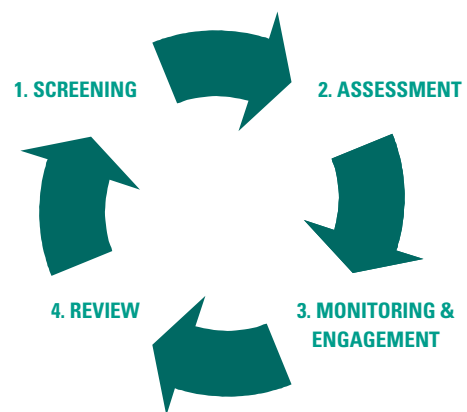
Sustainability Risk

The Sustainability Risk Policy for Investment

ABN AMRO offers a wide range of investment products and solutions, including portfolio management and advisory on investment products and solutions. The [Sustainability Risk Policy for Investment](#) defines the process of a continuous cycle of screening, assessment, monitoring & engagement and review.

First, potential investments are screened against exclusion lists, like the internal controversial weapon list. If the investment is not excluded, ABN AMRO assigns a Sustainability Indicator to the company based on the sustainability risk assessment by external data providers. This external assessment includes the company's exposure to sustainability risk and how well a company is managing the sustainability risks it is exposed to. It is also assessed if a company is involved in sustainability-related controversies or even a breach of the 10 principles of the [UN Global Compact](#), which is a set of universal principles related to human rights, labour, environment and anti-corruption. The sustainability performance of a company is monitored and in case of a breach of the UN Global Compact an engagement trajectory is started. The sustainability performance of a company is reviewed, because it can change over time. The Sustainability Risk Policy for Investments was approved on December 9, 2020. For more information see the summary of the Sustainability Risk Policy for Investment.

ABN AMRO applies this process to all its portfolio management and advisory on investment products and solutions within Retail and Private Banking. Additional criteria can apply to specific products or solutions depending on the investment strategy. See the product page for more information.



The [Sustainability Risk Policy](#) is the overarching policy that describes the sustainability risk management process. The Executive Board is accountable for the management of sustainability risk in ABN AMRO, which is delegated to the Group Risk Committee. Additional committees support the business in the integration of sustainability. The Sustainability Advisory Committee assist in the oversight and the embedding of the sustainability strategy and responsibilities in the organisation. The Engagement Advisory Committee oversees the engagement processes.

ABN AMRO implements its Sustainability Risk Policy and underlying policies according to the bank's Three Lines of Defence model. This approach ensures that sustainability risk management has a firm basis within the organisation by dividing risk management responsibilities between different bank roles. The Sustainability Risk Policy was approved on September 30, 2020. For more information see the summary of the Sustainability Risk Policy.

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About ABN AMRO

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